

1 **ACCEPTED MANUSCRIPT**

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ACCEPTED MANUSCRIPT

18 **DETERMINING AN APPROPRIATE AGE FOR ESTIMATING SITE INDEX OF**
19 ***Acacia hybrid* PLANTATIONS IN SOUTHEASTERN VIETNAM**

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26

27 **ABSTRACT**

28 This article introduces the research results of a site index classification for *Acacia* hybrid
29 plantations in Dong Nai Province. The objectives of this study were to (i) determine a baseline age
30 of *Acacia* hybrid plantations to establish their site indices and (ii) develop site index curves for *Acacia*
31 hybrid plantations. Three standard plots were established for each age group of 1-10 years with 111
32 trees per plot; 108 trees were measured for the estimation of growth criteria. Three trees were used
33 for tree truncation, and truncated trees did not count for the estimation of tree growth criteria. In this
34 study, the site index (SI) for *Acacia* hybrid plantations was divided into three levels according to the
35 total height of the dominant trees. The heights collected from 108 trees were used to build the
36 functions of the SI, and three truncated trees were used to examine the possibilities of the functions
37 of SI. Research results showed that the appropriate baseline age of *Acacia* hybrid plantations at Dong
38 Nai Province is 8 years. The site indices of hybrid plantations were divided into three site levels of I,
39 II, and III, corresponding to heights of 24, 20 and 16 m, respectively. To improve the effectiveness
40 of *Acacia* hybrid plantation businesses, owners should focus on growing plantations at site index
41 levels of I or II.
42

43 **Keywords:** *Acacia* hybrid plantations; dominant trees; site index; curve of site index
44

45 **INTRODUCTION**

46 Climate change has negatively affected human and environmental health, particularly forest
47 ecosystem health (IPCC, 2000). Tropical rainforest ecosystems are one of the most important carbon
48 sinks on the earth, playing a very important role in balancing the global carbon cycle and CO₂
49 concentration on Earth (Chaiyo *et al.*, 2011). Research on forest biomass and carbon sequestration is
50 significant for forest management and planning, as well as biomass energy use (Brown, 2000 & 2002;
51 Zianis *et al.*, 2005). According to the FAO (2009), biomass changes are associated with increases in
52 forest ecosystem growth and rates of carbon absorption and emission. A ratio of biomass to carbon
53 intensity for a forest population depends on an age and site index (Nguyen and Tran, 2016). Chavé *et*
54 *al.* (2005) indicated that an accurate estimation of forest biomass is an important factor in assessing
55 the global carbon cycle.

56 Bouman *et al.* (1999) expressed that several studies are interested in determining mechanisms
57 of worldwide carbon sequestration in different environments. It is necessary to develop appropriate
58 methods for surveying and evaluating forest biomass and carbon stocks (Chambers *et al.*, 2001; Brown,

59 2002, Chavé et al., 2005). Several previous studies developed mathematic models for the estimation of
60 forest productivity (Dong, 1974; Nguyen and Dao, 1999; Vu, 2005 & 2012) and biomass functions
61 (Vien, 2008; Bao, 2010; Vu and Vo, 2011; Nguyen, 2012; Dang, 2014; Nguyen and Tran, 2016) for
62 timber trees and different types of natural forests. According to the best understanding of the authors,
63 there are few studies on site index curves of *Acacia mangium* Willd (Krisnawati *et al.*, 2010; Lumbres
64 *et al.*, 2018); however, there are no published studies on biomass estimation of *Acacia* hybrid
65 plantations with various tree ages and soil site indices. Therefore, it is essential to predict *Acacia* hybrid
66 plantation biomasses to determine functions based on different site indices.

67 According to Nguyen and Dao (1999), forest soil site index or soil productivity is a criterion
68 for evaluation of site suitability for forest productivity. Quality of a soil site (productivity of a soil
69 site) reflects the productivity capacity of a forest, which might change due to effects of over-
70 exploitation, fire, fertilizer provision and/or soil erosion (Clutter *et al.*, 1983). Site quality can be
71 determined through forest growth and yield, mean annual increment (MAI) and periodic annual
72 increment (PAI) by site index (SI) and growth intercept (GI) methods (Clutter *et al.*, 1983; Larsen,
73 1999). SI can be estimated directly based on the average height of dominant trees (H_0) associated
74 with a baseline age (A_0) (Monserud, 1984). SI value depends on tree species and can be determined
75 as functions of H_0 or GI (Monserud, 1984; Larsen, 1999; Vu, 2005). The selection of a baseline age
76 for a tree species is dependent on the life cycle (choosing a period at which population growth does
77 not depend on species density) or business cycle (Monserud, 1984; Larsen, 1999).

78 The site index is determined by three different methods (Monserud, 1984; Larsen, 1999). The
79 first method involves the construction of a site index population curve, which has been commonly
80 used since the 1940s. This method is based on the related function of $H_0 = f(A_0)$ to construct a site
81 index curve. The second method is based on selecting pairs of H_0 and age and defining the function
82 $H_0 = f(A)$, where A is the age of a forest. Therefore, site index curves have different shapes by
83 applying different functions. The third method involves truncation of individual trees, with site index
84 curves based on results of tree truncation. This method was created in the 1980s and has been widely
85 applied ever since. In general, each method gives a unique interpretation result (Monserud, 1984;
86 Larsen, 1999; Vu, 2005 & 2012). The SI curve converts H_0 at a baseline age (A_0) to H_0 at a matured
87 age of trees (A). SI curves are usually constructed as tree growth functions related to mature ages; in
88 other words, they are based on data pairs of H_0/A . A suitable SI curve must be fitted by mathematical,
89 statistical methods and must be chosen from statistical tests (Larsen, 1999; Vu, 2005 & 2012).

90 Some researchers have studied the planting and breeding of *Acacia* hybrid species in Vietnam
91 (Le, 2000). Nguyen *et al.* (2006) showed that the mature age of *Acacia* hybrid plantations in southern
92 Vietnam is eight years. According to 2016 statistics, the total area of *Acacia* hybrid plantations in
93 Dong Nai Province is approximately 23,557 ha. Plantations are mainly distributed in the districts of

94 Vinh Cuu, Xuan Loc, and Dinh Quan. So far, there are no studies on the classification of site indices
95 for *Acacia* hybrid plantations in Dong Nai Province. The objectives of this study were (i) to determine
96 a baseline age of *Acacia* hybrid plantations to establish their site indices; and (ii) to develop site index
97 curves for *Acacia* hybrid plantations in Dong Nai Province. We selected the Dong Nai province for
98 the site study because many *Acacia* hybrid plantations have been planted in this area with different
99 climatic conditions, topography and soil types. Currently, the total area of *Acacia* hybrid plantations
100 in Dong Nai province is 23,000 ha (Institute for Forest Ecology and Environment, 2017). The results
101 of this study provide the scientific basis for the application of silvicultural methods to manage and
102 use *Acacia* hybrid plantations effectively.

103

104

MATERIALS AND METHODS

105 Description of the study site

106 This research was conducted on *Acacia* hybrid (mention the hybrid type or parental species)
107 plantations from one to ten years old in the districts of Vinh Cuu, Dinh Quan, Xuan Loc, Tan Phu,
108 Long Thanh, and Bien Hoa in Dong Nai Province. These locations were initially determined to have
109 three main soil types formed on basalt, shale and silt soil foundations in Dong Nai. Geographic
110 coordinates of the region were between 10° 30' 03"- 11° 34' 57" in the northern latitudes and 106° 45'
111 30"- 107° 35' 00" in the eastern longitudes. The study region was located near the equator at 50-350
112 m above sea level. The rainy season occurs from May to October, and the dry season occurs from
113 November to April. The average temperature, rainfall, and humidity were 22 °C, 2100 mm, and 80%,
114 respectively.

115

116 Experimental design and sample collection

117 In this study, the site index (SI) for *Acacia* hybrid (*Acacia auriculiformis***Acacia mangium*)
118 plantations was dependent on the dominant tree height (H_0) at a baseline age (A_0). Based on a
119 preliminary survey of the age distribution of *Acacia* hybrid plantations in the study locations, standard
120 sampling plots were established to measure growth criteria for each age group. Based on secondary
121 data, three standard plots were established for each *Acacia* hybrid plantation age group, and each of
122 those plots represented a typical kind of soil, as mentioned above. The areas of each standard plot
123 varied due to plantation density at each study location; however, the number of trees per plot was
124 consistently 39. Within each plot, three sample trees representing different growth status (Vietnam
125 Standard 11567-1:2016, 2016), including one tree of good growing status, one of medium growing
126 status, and one of poor growing status, were cut for tree truncation. The remaining thirty-six trees
127 were used to measure tree growth criteria. Thus, a total of 30 standard plots were established, in which

128 108 trees were measured for the estimation of growth criteria and nine trees were used for tree
 129 truncation for each *Acacia* hybrid plantation age group. The truncated trees did not count toward the
 130 estimation of tree growth criteria.

131 An average height (H_0) for each age group (from 1 to 10 years) was determined by the method
 132 of tree truncation. The trees used for truncation were cut down at a position of 10 cm above the
 133 ground, and information was collected on diameter at breast height (DBH or D) and body height (H_0)
 134 before carrying out the steps of tree truncation. Each trunk was cut into segments 1.0 m in length,
 135 except for the top trunk section, which was 0.5 m. Annual rings were counted at 0.0 m, 1.0 m, 1.3 m,
 136 2.0 m, 3.0 m, 4.0 m and so on. At each truncated diameter of the tree, annual rings of the tree were
 137 counted to determine a tree age that corresponded to the height of the tree reached within the truncated
 138 section. The rings within each tree were assembled and then named in order for each truncated tree.
 139 The statistical characteristics described for H_0 , such as the mean value of height (H_0), standard
 140 deviation (SD), coefficient of variation (CV), smallest value of height ($H_{0, \min}$), maximum value of
 141 height ($H_{0, \max}$) and difference of $H_{0, \max} - H_{0, \min}$, were calculated through statistical analyses.

143 **Determination of a site index function**

144 Functions described by Schumacher (1939) were applied to construct a site index for *Acacia*
 145 hybrid plantations, as presented in equations below. The observed, empirical data of 108 sample trees
 146 in each age group were used to fit the functions. The consistency of each fitted function (equation
 147 (3')) was evaluated by considering statistical factors, such as coefficient of determination (R^2),
 148 standard deviation (SD), mean absolute error (MAE) and mean absolute percent error (MAPE).

$$149 \quad H_0 = a \cdot \exp(-b/A^c) \quad (1)$$

$$150 \quad \text{or } \ln(H_0) = \ln(a) - b/A^c \quad (2)$$

$$151 \quad \ln(H_0) = b_0 + b_1/A^c \quad (3)$$

$$152 \quad H_0 = \exp(b_0 + b_1/A^c) \quad (3')$$

$$153 \quad b_0 = \ln(H_0) - b_1/A^c \quad (4)$$

154 where $\ln(a) = b_0$ and $b = b_1$

155 From equations (3) and (4), a function of site index ($SI = f(A)$) was defined by equation (5),
 156 and parameters of SI function, such as b_0 , were defined by equation (6).

$$157 \quad \ln(SI) = b_0 + b_1/A_0^c \quad (5)$$

$$158 \quad b_0 = \ln(SI) - b_1/A_0^c \quad (6)$$

159 By substituting equation (6) into equation (3'), we obtained equations (7-9). Equation (9) is
 160 described as the function of site index, with H_0 at a certain baseline age (A_0).

$$161 \quad \ln(H_0) = \ln(SI) - b_1/A_0^c + b_1/A^c \quad (7)$$

$$162 \quad \ln(SI) = \ln(H_0) - b_1/A_0^c + b_1/A^c \quad (8)$$

163 $SI = \exp(\ln(H_0) - b_1(1/A^c - 1/A_0^c))$ (9)

164

165 **Determination of a baseline age (A_0) and parameters of site index curve**

166 An appropriate baseline age (A_0) was chosen at the time when SI was used to convert H_0 at
 167 A_0 to H_0 at a certain age (A) with the smallest regression sum of squares (SSR_{min}). The appropriate
 168 baseline age was tested for only *Acacia* hybrid plantations with age groups of 6-10 years ($A = 6-10$
 169 years). The number of SI was defined based on the fluctuation of H_0 at A_0 ($H_{0,max} - H_{0,min}$). The slope
 170 (parameter b_1) remained the same across the site index functions. To obtain an SI curve, a value of b_1
 171 from equation (3) was first calculated and then substituted into equation (9) along with the value of
 172 H_0 at A_0 . The SI curves were validated by using empirical data measured from the truncated trees.

173

174 **RESULTS AND DISCUSSION**

175 **Statistical characteristics of *Acacia* hybrid plantation**

176 The statistical characteristics of the height of dominant trees for age groups (1-10 years) are
 177 presented in Table 1.

178

179 Table 1. Statistical characteristics of the height of trees in different age groups (n = 108)

A (year)	$H_{0, \text{observed}}$ (m)	CV (%)	$H_{0, \text{min}}$ (m)	$H_{0, \text{max}}$ (m)	$H_{0, \text{max}} - H_{0, \text{min}}$ (m)
1	2.6	15.4	2.1	3.3	1.2
2	6.7	23.9	4.0	9.1	5.1
3	11.0	17.3	7.1	14.2	7.1
4	14.0	17.1	9.4	17.9	8.5
5	15.9	18.2	10.5	20.4	9.9
6	18.0	15.0	12.2	22.7	10.5
7	18.5	20.0	12.3	24.4	12.1
8	20.3	18.7	13.6	26.8	13.2
9	21.9	15.1	14.8	27.6	12.8
10	22.4	14.7	15.7	29.0	13.3

180 Where A = age; H_0 = height of dominant tree; CV = coefficient of variation; $H_{0, \text{min}}$ = minimum
 181 height; and $H_{0, \text{max}}$ = maximum height.

182

183 The results in Table 1 show that the average H_0 values of dominant trees varied from 2.6 m at
 184 year 1 to 22.4 m in year 10. The ranges of the difference between $H_{0, \text{min}}$ and $H_{0, \text{max}}$ were from 2.1 to
 185 3.3 m for year 1 and from 15.7 to 29.0 m for year 10. Coefficients of variation (CV) fluctuated from
 186 the highest value (23.9%) in year 2 to the lowest value (14.7%) in year 10. In general, H_0 values

187 exhibited large variations with age and site conditions. Therefore, a division of *Acacia* hybrid
 188 plantations in Dong Nai Province into different site levels is necessary.

189

190 Selection of a site index function

191 Based on the result of the regression and correlation analyses, a function ($H_0 = f(A)$) that
 192 expresses a relationship between height and age of *Acacia* hybrid plantations for age groups from 1
 193 to 10 years was defined, as shown in equation (10).

$$194 \quad H_0 = \exp(3,65344 - 2,76734/A^{0,70746}) \quad (10)$$

195 With $r^2 = 83.4\%$; MAE = 2.2; MAPE = 16.2%.

196

197 Table 2 shows H_0 values that were fitted by substituting the values of age groups into equation
 198 (10). The annual periodic growth of height (ZH_0) increased gradually for the first year (2.4 m/year),
 199 reached a peak at 4.7 m/year in year 2, and then gradually decreased until age 10 (0.9 m/year). The
 200 annual average height growth (ΔH_0) also increased gradually starting in year 1 (2.4 m/year), reached
 201 the highest value at year 3 (3.6 m/year), and then gradually decreased until age 10 (2.2 m/year).
 202 Height growth rate (Ph_0) decreased rapidly from 100% in year 1 to 14.1% in year 5 and decreased
 203 again to 4.1% in year 10. Thus, age 2 is the period that the *Acacia* hybrid plantations transition from
 204 rapid to slow growth.

205

206 Table 2. Fitted values of annual periodic and average growths and height growth rate

A (year)	H_0 fitted (m)	ZH_0 (m/year)	ΔH_0 (m/year)	Ph_0 (%)
1	2.4	2.4	2.4	100.0
2	7.1	4.7	3.5	65.8
3	10.8	3.7	3.6	34.5
4	13.7	2.9	3.4	20.9
5	15.9	2.2	3.2	14.1
6	17.7	1.8	3.0	10.2
7	19.2	1.5	2.7	7.7
8	20.4	1.2	2.6	6.1
9	21.5	1.1	2.4	5.0
10	22.4	0.9	2.2	4.1

207 Where ZH_0 = annual periodic growth of height, ΔH_0 = annual average growth of height, and Ph_0 =
 208 height growth rate.



209

210 Figure 1. Annual periodic and average height growth of *Acacia* hybrid plantation by age

211

212 **Baseline ages (A_0) of *Acacia* hybrid plantations**

213 Baseline ages (A_0) of the *Acacia* hybrid plantations were chosen at a year when the functions
 214 of $SI = f(A)$ were fitted with the smallest regression sum of squares (SSR_{min}). In this study, the ages
 215 of *Acacia* hybrid plantations ranged from 1 to 10 years; therefore, determination of an appropriate
 216 baseline age was tested at the ages of 6, 7, 8, 9 and 10. Predicted H_0 values based on equation (10)
 217 and differences between observed H_0 and predicted H_0 values are presented in Table 3. The highest
 218 and lowest values of SSR were 2.87 and 0.86 at the ages of 7 and 8, respectively. Thus, the appropriate
 219 baseline age used for constructing SI curves was 8 years. Age 8 is consistent with the mature age for
 220 *Acacia* hybrid plantations in southern Vietnam (Nguyen *et al.*, 2006).

221

222 Table 3. Predicted H_0 at A_0 and SSR associated with H_0 for ages 6-10

A (year)	$H_{0, observed}$ (m)	Age (year)				
		6	7	8	9	10
Predicted H_0 (m) at A_0 (year)						
6	18.0	18.0	19.5	20.8	21.9	22.8
7	18.5	17.1	18.5	19.7	20.7	21.6
8	20.3	17.6	19.1	20.3	21.4	22.3
9	21.9	18.0	19.5	20.8	21.9	22.8
10	22.4	17.7	19.2	20.4	21.5	22.4

SSR values associated with the predicted H_0

6	0.00	1.02	0.23	0.00	0.16
7	0.87	0.00	0.36	1.37	0.61
8	0.17	0.31	0.00	0.29	0.02
9	0.00	1.09	0.27	0.00	0.19
10	0.10	0.45	0.01	0.18	0.00
Total sum of SSR:	1.14	2.87	0.86	1.84	0.98

223

224 Levels of site index at the selected baseline age

225 As presented in Table 1, the difference between $H_{0, \max}$ and $H_{0, \min}$ ($H_{0, \max} - H_{0, \min} = 26.8 \text{ m} -$
 226 13.6 m) at the selected baseline age of 8 is 13.2 m (rounded to 13.0 m). At the age of 8, the average
 227 H_0 value for *Acacia* hybrid plantations was 20.4 m (rounded to 20.0 m). The measured error of height
 228 was usually from ± 0.5 to $\pm 1.0 \text{ m}$. If the H_0 value at the age of 8 (13.0 m) is divided into three levels,
 229 a range of each level is equal to 4.3 m (rounded to 4.0 m). The distance between two levels of adjacent
 230 site indices (4.0 m) is four to eight times higher than the measured error of height. Therefore, the
 231 *Acacia* hybrid plantation was divided into three levels of site indices (I, II, III) based on the H_0 values
 232 in which the distance between two levels of adjacent site indices was 4.0 m . The values of three SI
 233 levels ($24, 20$ and 16 m) at the baseline age of 8 corresponded to site indices of I, II, and III,
 234 respectively. The SI values midway between levels I and II and midway between levels II and III
 235 were 22 m and 18 m , respectively. Similarly, the SI value at the lower margin of level III was 14 m ,
 236 while the upper margin of level I was 26 m .

237

238 Selected site index level curves

239 In this study, the slope (b_1) of SI curves was the same value for all three SI levels. The results
 240 of the regression analysis showed that the value of slope (b_1) was 2.76734 and $1/A_0^c$ was equal to
 241 $1/8^{0.70746} = 0.22967$. We substituted the values of the above parameters into equation 9, and the
 242 SI curves are presented in Table 4.

243

244 Table 4. Functions of site index (SI) at selected levels.

SI levels	Fitted functions of SI	
I_{upper}	$SI = \exp(\ln(26) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(11)
I	$SI = \exp(\ln(24) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(12)
II - I	$SI = \exp(\ln(22) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(13)
II	$SI = \exp(\ln(20) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(14)
II - III	$SI = \exp(\ln(18) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(15)
III	$SI = \exp(\ln(16) - 2.76734 \cdot (1/A^{0.70746} - 0.22967))$	(16)

$$III_{lower} \quad SI = \exp(\ln(14) - 2.76734 \cdot (1/A^{0.70746} - 0.22967)) \quad (17)$$

245

246 There were no significant differences in growth intercepts between functions of $SI = f(A)$ at three
 247 site index levels and functions of $H_0 = f(A)$, with p-values of 0.239, 0.285 and 0.261 for the I, II and
 248 III levels, respectively. Similarly, the slopes of the site index functions were not significantly different
 249 from those of the functions of H_0 (p-value = 0.570 for level I, p-value = 0.611 for level II and p-value
 250 = 0.380 for level III). These results prove that functions 11 to 17 can be used to construct site index
 251 curves for *Acacia* hybrid plantations. Predicted values of site indices for each age group are presented
 252 in Table 5. Figure 2 described site index curves of height values by years of *Acacia* hybrid plantations
 253 at levels of I_{upper} , I, I-II, II, II-III, III, and III_{lower} .

254

255 Table 5. Predicted values of site index levels for age groups from 1 to 10 years.

A (year)	Predicted H_0 (m) at different site index levels						
	I_{upper}	I	I - II	II	II - III	III	III_{lower}
1	3.1	2.8	2.6	2.4	2.1	1.9	1.7
2	9.0	8.3	7.6	6.9	6.2	5.5	4.9
3	13.7	12.7	11.6	10.6	9.5	8.5	7.4
4	17.4	16.0	14.7	13.4	12.0	10.7	9.4
5	20.2	18.7	17.1	15.5	14.0	12.4	10.9
6	22.5	20.8	19.0	17.3	15.6	13.8	12.1
7	24.4	22.5	20.6	18.8	16.9	15.0	13.1
8	26.0	24.0	22.0	20.0	18.0	16.0	14.0
9	27.3	25.2	23.1	21.0	18.9	16.8	14.7
10	28.5	26.3	24.1	21.9	19.7	17.5	15.3

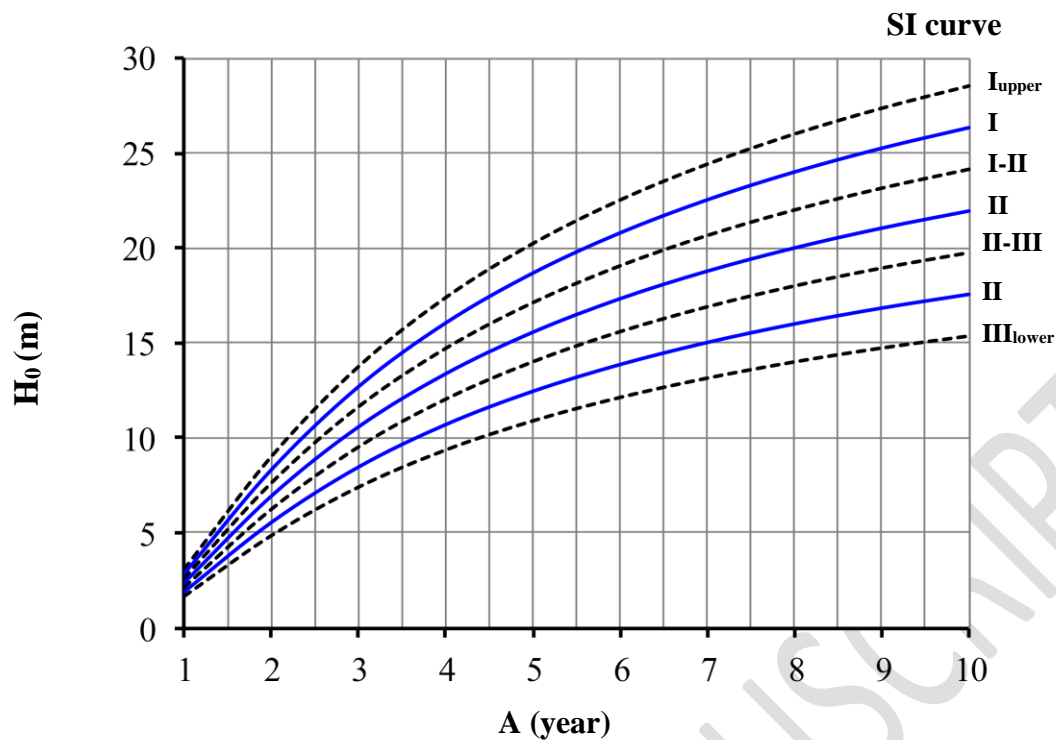


Figure 2. Curves of site indices associated with H_0 at different age groups.

CONCLUSION

Acacia hybrid plantations in Dong Nai Province could be divided into three site index levels. The appropriate baseline ages of these plantations were determined to be age 8 for three site index levels. The site index level curves for the *Acacia* hybrid plantation sites were established according to the baseline age. To improve the efficiency of plantation businesses, owners should focus on *Acacia* hybrid plantations with site index levels of I and II.

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